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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. First Inventor		100727-54 / Heraeus 406-KGB	170	
		Dr. Sebastian VOGT et al		
Title		SEE APPLICATION DATA SHEET	V.	
Funnas Mail Labal Ma		EN 2007007(0 HC		

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, D.C. 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or 7. (Submit an original, and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Computer Readable Form (CRF) 3. Specification [Total Pages 16 (preferred arrangement set forth below) Specification Sequence Listing on: - Descriptive title of the invention CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D paper - Reference to sequence listing, a table, or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description 9. Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement 10. Power of Attorney - Abstract of the Disclosure (when there is an assignee) English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [Total Sheets Information Disclosure Copies of IDS 12. Statement (IDS)/PTO-1449 Citations Oath or Declaration [Total Pages 13. Preliminary Amendment Newly executed (original or copy) Return Receipt Postcard (MPEP 503) 14. Copy from a prior application (37 CFR 1.63(d)) (Should be specifically itemized) Certified Copy of Priority Document(s) (for continuation/divisional with Box 18 completed) 15. (if foreign priority is claimed) **DELETION OF INVENTOR(S)** Request and Certification under 35 U.S.C. 122 16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 Signed statement attached deleting inventor(s) or its equivalent. named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). **Express Mail Certificate** Other: Application Data Sheet. See 37 CFR 1.76 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation | Divisional | Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner Group / Art Unit For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. PONDENCE ADDRESS CORR Correspondence address below X Customer Number or Bar Code Label (Insert Customer No. 27 Atta- 804 code label here) PATENT TRADEMARK OFFICE Name Address State Zip Code City *Letéphone* Country Name (Print/Type) **KURT** BRISCOE Registration No. (Attorney/Agent) 33,141

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



APPLICANT: Dr. Sebastian VOGT, et al

## TITLE: ANTIBIOTIC COATING FOR POROUS BODIES AND METHOD FOR ITS PRODUCTION AS WELL AS ITS USE

#### **EXPRESS MAIL CERTIFICATE**

"Express Mail":	mailing labe	l number <u>EV</u>	<u>′ 208799560 US</u>
Date of Deposit	June 2	20, 2003	

I hereby certify that the following items:

- 1. Utility Patent Application Transmittal
- 2. Application Data Sheet
- 3. Fee Transmittal Form in duplicate CHARGE DEPOSIT ACCOUNT
- 4. Specification consisting of description (pages 1-11); 15 Claims (pages 12-15) Abstract (page 16)
- 5. Information Disclosure Statement
- 6. Form PTO 1449
- 7. Copy of cited References (16)
- 8. Transmittal of Priority Document
- 9. Certified Copy of Priority Document No. 102 27 935.7
- 10. Return Receipt Postcard

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By January Chich Jennifer Afcher

Complete if Known

PTO/SB/17 (1-03)

Approved for use through 04/30/2003. OMB 0651-0032

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FEE TRANSMITTA		Complete if Known						
		Application Number		Numbe	er To Be Assigned			
for FY 2003	<b>_</b>	Filing	Date		Herewith			
Effective 01/01/2003. Patent fees are subject to annual revisi	on.	First	Name	d Inven	ntor Dr. Sebastian VOGT et al.			
Applicant claims small entity status. See 37 CFR 1.:	27	Exan	niner N	lame	To Be Assigned			
		Group Art Unit		Jnit	To Be Assigned			
TOTAL AMOUNT OF PAYMENT (\$) \$75	0.00	Attor	ney Do	ocket N	No. 100727-54 / Heraeus 406-KGB			
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METHOD OF PAYMENT (check all that apply)	_				E CALCULATION (continued)			
Check Credit card Money Other None		DDITIC Entity		FEES Entity				
Deposit Account:	Fee Code	Fee	Fee Code	Fee (\$)	Fee Description Fee Paid			
Deposit Account 14-1263	1051	( <b>\$)</b> 130	2051		Surcharge - late filing fee or oath			
Number	1052	50	2052		Surcharge - late provisional filing fee or cover			
Account   Norris McLaughlin & Marcus PA	1053	130	1053		Non - English specification			
Name	i	2,520			For filing a request for ex parte reexamination			
The Commissioner is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments	1804		1804		Requesting publication of SIR prior to Examiner			
Charge any additional fee(s) during the pendency of this application	)				action			
Charge fee(s) indicated below, except for the filling fee	1805	1,840*	1805 <sup>-</sup> 		Requesting publication of SIR after Examiner action			
to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month			
FEE CALCULATION	1252	410	2252	205	Extension for reply within second month			
1. BASIC FILING FEE	1253	930	2253		Extension for reply within third month			
Large Entity Small Entity	1254	1,450	2254		Extension for reply within fourth month			
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid		1,970	2255		Extension for reply within fifth month			
1001 750 2001 375 Utility filing fee 750.00	1401		2401		Notice of Appeal			
1002 330 2002 165 Design filing	1402		2402		Filing a brief in support of an appeal			
1003 520 2003 260 Plant filing fee	1403		2403		Request for oral hearing			
1004 750 2004 375 Reissue filing	1	1,510			Petition to institute a public use proceeding  Petition to revive - unavoidable			
1005 160 2005 80 Provisional filing fee	1452		2452		Petition to revive - unintentional			
SUBTOTAL (1) (\$) \$750.00		1,300 1,300	2501		Utility issue fee (or reissue)			
2. EXTRA CLAIM FEES FOR UTILITY AND	1502		2502		Design issue fee			
Fee from Extra Claims below Fee Paid			2502		Plant issue fee			
Total Claims 15 -20** = 0 X 18.00 = 0.00	1460		1460		Petitions to the Commissioner			
Independent 1 - 3** = 0 X 84.00 = 0.00 Claims	1807		1807		Processing fee under 37 CFR § 1.17(q)			
Multiple Dependent 0.00 = 0.00	1806		1806	180	Submission of Information Disclosure			
Large Entity   Small Entity Fee Fee Fee Fee Fee Description Code (\$)   Code (\$)	8021	40	8021	40	Statement Recording each patent assignment per property			
1202 18 2202 9 Claims in excess of 20	1809	750	2809		(times number of properties) Filing a submission after final rejection			
1201 84 2201 42 Independent claims in excess of 3	1810		1	375	(37 ČFR § 1.129(a)) For each additional invention to be examined			
1203 280 2203 140 Multiple dependent claim, if not paid	ł				(37 CFR § 1.129(b)) Request for Continued Examination (RCE)			
1204 84 2204 42 ** Reissue independent claims over original patent	1801		1		Request for expedited examination			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		er fee (	•		of a design application			
SUBTOTAL (2) (\$) \$0.09	11/		,,,,	′ ——				
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**or number previously paid, if greater; For Rejssues, see above Reduced by basic Filling Fee Faid								
SUBMITTED BY		Onei-t	ndin = F1		Complete (if applicable)			
Name (PrintType) KURT G. BRISCOE			ation N y/Agent)		33,141 Telephone (212) 808-0700			
Signature	-				Date 6-29-03			

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

### Date of Deposit: \une 20, 2003

#### APPLICATION DATA SHEET (Appendix)

#### THIS IS A UTILITY PATENT APPLICATION.

TITLE: ANTIBIOTIC COATING FOR POROUS BODIES AND

METHOD FOR ITS PRODUCTION AS WELL AS ITS USE

#### LIST OF INVENTORS:

- Dr. Sebastian VOGT, Ziegenhainer Str. 67, 07749 Jena, Germany
- 2. Dr. Matthias SCHNABELRAUCH, Am Burggarten 17, 07749 Jena, Germany
- Dr. Klaus-Dieter KÜHN, Königstr. 46, 35041 Marburg, Germany. 3.

All inventors are of German nationality.

#### **CLAIM TO PRIORITY:**

Priority is hereby claimed under 35 USC 119 on the basis of German Application No. 102 27 935.7, filed on June 21, 2002.

#### **ASSIGNMENT:**

This application shall be effected in the name of Heraeus Kulzer GmbH & Co.KG, Grüner Weg 11, 63450 Hanau, Germany.

#### REPRESENTATIVE/CORRESPONDENCE INFORMATION:

All correspondence should be addressed to:

Kurt G. Briscoe

Registration No.: 33,141 Customer No. 27384

Norris McLaughlin & Marcus, P.A. 220 East 42<sup>nd</sup> Street - 30<sup>th</sup> Floor

New York, NY 10017 Tel.: (212) 808-0700 Fax: (212) 808-0844

#### CERTIFICATE OF EXPRESS MAILING

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nnifer Archer